

JUL 3 '02 13:24

FR OTIS INT PROP DEPT

TO 87037 195

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PCT

OTIS ELEVATOR COMPANY
 Intellectual Property Department
 Ten Farm Springs
 Farmington, Connecticut 06032

Total Number Of Pages Including Lead Sheet: 9

To: United States Patent and Trademark Office

Office of Initial Patent Examination's
Filing Receipt Corrections

FAX: 703-746-9195

From: SEAN W. O'BRIEN
INTELLECTUAL PROPERTY DEPT.PHONE: (860) 676-5760
FAX: (860) 676-5766

Date: July 3, 2002

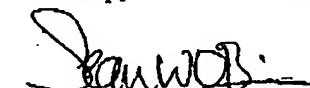
INITIALS: mf

Re: Application No. 10/070,712
Filing Date: March 5, 2002

Dear Sirs:

I hereby certify that the following documents (attached) are being facsimile transmitted to the U.S. Patent and Trademark Office at (703) 746-9195 on July 3, 2002, for filing in the above referenced application:

- Request for Corrected Filing Receipt
- A copy of the Filing Receipt showing corrections
- A copy of the original Request in the international application
- A copy of the Combined Declaration and Power of Attorney



Sean W. O'Brien
Registration No. 37,689

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-NOTICE-

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Docket No.: OT-4607
Tsukahara, et al : Date: July 03, 2002
Appln. No.: 10/070,712 : Group Art: 3653
Filing Date: March 5, 2002 : Examiner: Not Yet Assigned
Title: Step for Escalator

Commissioner for Patents
Washington, DC 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sirs:

Attached is a marked-up copy of the official Filing Receipt received from the Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

Please add the following two (2) inventors to the official Filing Receipt, as shown in the mark-ups on the attached copy:

Takashi Tanaka
Chiba Prefecture
Japan

Koichi Maeda
Tokyo
Japan

Enclosed are copies of (1) the original Request in the international application on which this application is based and (2) the Combined Declaration and Power of Attorney that was filed in this application. Each reflects the correct inventorship.

Please charge any fees deemed necessary to Deposit Account No. 15-0750,
Order No. OT-4607.

Otis Elevator Company
Otis Intellectual Property Dept.
Ten Farm Springs
Farmington, CT 06032
(860) 676-5760

Respectfully submitted,



Sean W. O'Brien
Registration No. 37,689

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 10/070,712 | 03/05/2002 | 3653 | 990 | OT-4607 | 5 | 6 | 2 |

CONFIRMATION NO. 2569

Randy G Henley
 Otis Elevator Company
 Intellectual Property Department
 Ten Farm Springs
 Farmington, CT 06032

FILING RECEIPT

OC000000008293750

Date Mailed: 06/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yoshio Tsukahara, Chiba Prefecture, JAPAN;
 Kazuhiko Motomura, Chiba Prefecture, JAPAN;
 Takashi Tanaka, Chiba Prefecture, JAPAN;
 Keiichi Maeda, Tokyo, JAPAN

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US00/24408 09/06/2000

A
 371
 PCT/US00/24408
 09/06/2000
 Priority

Foreign Applications

JAPAN 11252446 09/07/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

OTIS INT. DEPT.

Request Date: 6/25/02

Action: Filing Receipt

Due Date: _____

Attorney: SMC

Title

Step for escalator

Preliminary Class

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PCT REQUEST

OT-4607

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| | | |
|--------------|---|---|
| 0 | For receiving Office use only International Application No. | |
| 0-2 | International Filing Date | |
| 0-3 | Name of receiving Office and "PCT International Application" | |
| 0-4 0-4-1 | Form - PCT/RO/101 PCT Request Prepared using | PCT-EASY Version 2.91 (updated 01.07.2000) |
| 0-5 | Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty | |
| 0-6 | Receiving Office (specified by the applicant) | United States Patent and Trademark Office (USPTO) (RO/US) |
| 0-7 | Applicant's or agent's file reference | OT-4607 |
| I | Title of invention | STEP FOR ESCALATOR |
| II | Applicant II-1 This person is: II-2 Applicant for: II-4 Name II-5 Address: | applicant only all designated States except US OTIS ELEVATOR COMPANY Intellectual Property Dept. 10 Farm Springs Farmington, CT 06032-2568 United States of America |
| II-6 | State of nationality | US |
| II-7 | State of residence | US |
| II-8 | Telephone No. | (860) 676-5742 |
| II-9 | Facsimile No. | (860) 676-5766 |
| II-10 | e-mail | Randy.Henley@otis.com |
| III-1 | Applicant and/or inventor III-1-1 This person is: III-1-2 Applicant for III-1-4 Name (LAST, First) III-1-5 Address: | applicant and inventor US only TSUKAHARA, Yoshio 13-8 Hiyoshikura, Tomisato-Machi Imba-Gun, Chiba 286-0202 Japan |
| III-1-6 | State of nationality | JP |
| III-1-7 | State of residence | JP |

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| | | |
|---------|--|--|
| III-2 | Applicant and/or inventor | |
| III-2-1 | This person is: | applicant and inventor |
| III-2-2 | Applicant for | US only |
| III-2-4 | Name (LAST, First) | MOTOMURA, Kazuhiko |
| III-2-5 | Address: | Ho-294-10, Yohkaichiba-Shi,, Chiba 289-2100 |
| III-2-6 | State of nationality | Japan |
| III-2-7 | State of residence | JP |
| III-3 | Applicant and/or inventor | |
| III-3-1 | This person is: | applicant and inventor |
| III-3-2 | Applicant for | US only |
| III-3-4 | Name (LAST, First) | TANAKA, Takashi |
| III-3-5 | Address: | 13-8, Hiyoshikura, Tomisato-Machi Imba-Gun, Chiba 286-0202 |
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| III-3-7 | State of residence | JP |
| III-4 | Applicant and/or inventor | |
| III-4-1 | This person is: | applicant and inventor |
| III-4-2 | Applicant for | US only |
| III-4-4 | Name (LAST, First) | MAEDA, Koichi |
| III-4-5 | Address: | 3-39-21 Asagayaminami, Suginami-Ku, Tokyo 166-0004 |
| III-4-6 | State of nationality | Japan |
| III-4-7 | State of residence | JP |
| IV-1 | Agent or common representative; or address for correspondence | |
| | The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: | agent |
| IV-1-1 | Name (LAST, First) | HENLEY, Randy, G. |
| IV-1-2 | Address: | Otis Elevator Company Intellectual Property Dept. 10 Farm Springs Farmington, CT 06032-2568 |
| IV-1-3 | Telephone No. | United States of America (860) 676-5742 |
| IV-1-4 | Facsimile No. | (860) 676-5766 |
| IV-1-5 | e-mail | Randy.Henley@otis.com |

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| IV-2 | Additional agent(s) | | |
| IV-2-1 | Name (LAST, First) | | |
| IV-2-2 | Address: | | |
| IV-2-3 | Telephone No. | | |
| IV-2-4 | Facsimile No. | | |
| IV-2-5 | e-mail | | |
| V | Designation of States | | |
| V-1 | Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned) | | |
| V-2 | National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned) | | |
| V-3 | Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. | | |
| V-6 | Exclusion(s) from precautionary designations | | |
| VI-1 | Priority claim of earlier national application | | |
| VI-1-1 | Filing date | | |
| VI-1-2 | Number | | |
| VI-1-3 | Country | | |
| VII-1 | International Searching Authority Chosen | | |
| VIII | Check list | number of sheets | electronic file(s) attached |
| VIII-1 | Request | 4 | - |
| VIII-2 | Description | 4 | - |
| VIII-3 | Claims | 1 | - |
| VIII-4 | Abstract | 1 | ot-4607_jp_pct_easy_abstract.txt |
| VIII-5 | Drawings | 8 | - |
| VIII-7 | TOTAL | 18 | |
| VIII-8 | Accompanying items | paper document(s) attached | electronic file(s) attached |
| VIII-12 | Fee calculation sheet | ✓ | - |
| VIII-12 | Priority document(s) | Item(s) VI-1 | - |
| VIII-16 | PCT-EASY diskette | - | diskette |
| VIII-17 | Other (specified): | Postcard and Transmittal | - |

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OT-4607

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| | | |
|---------|--|--|
| VIII-18 | Figure of the drawings which should accompany the abstract | 2 |
| VIII-19 | Language of filing of the international application | English |
| IX-1 | Signature of applicant or agent |  |
| IX-1-1 | Name | OTIS ELEVATOR COMPANY |
| IX-1-2 | Name of signatory | SNYDER, Troxell, K., |
| IX-1-3 | Capacity | Assistant Secretary, Otis Elevator Company |

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| 10-1 | Date of actual receipt of the purported international application | |
| 10-2 | Drawings: | |
| 10-2-1 | Received | |
| 10-2-2 | Not received | |
| 10-3 | Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application | |
| 10-4 | Date of timely receipt of the required corrections under PCT Article 11(2) | |
| 10-5 | International Searching Authority | ISA/US |
| 10-6 | Transmittal of search copy delayed until search fee is paid | |

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| 11-1 | Data of receipt of the record copy by the International Bureau | |
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